

SEC PRACTICE GROUP



SEC Practice Group

Remaining compliant with the numerous Securities and Exchange Commission's (SEC) rules and regulations presents a never ending challenge for publicly-traded companies.

As the watchdog of the nation's securities market, the SEC has made it clear that companies must comply with SEC rules and regulations. The SEC's policy of strict guideline enforcement places increasing pressure on businesses to stay current with complex and changing requirements.

Marcum LLP's SEC Practice Group stays on the cutting edge of SEC policies, practices and procedures. Our technical expertise in the area of SEC reporting ensures that our clients remain compliant, despite ongoing regulatory revisions. At the same time, our commitment to superior personal service and a broad range of professional services positions our clients to realize their financial goals.

In 2011, Marcum was added to the listing of "non-Big Four national auditing firms" by Accounting News Report's (ANR) Auditor Rankings, Marcum's first-ever addition to the prestigious national firm individual breakout group. The ranking is a direct reflection of the quality of Marcum's growing practice, the ninth largest SEC practice in the nation among the top 100 firms according to AuditAnalytics.com.

Marcum has been helping businesses grow since 1951. As new industries and business opportunities emerge, Marcum provides timely, proactive and effective services to help publicly-traded businesses thrive and prosper.

- We offer a team approach to client service, utilizing all the resources of our firm's business consulting and accounting practices.
- We take a flexible, practical approach to the delivery of audit, tax and consulting services in the ever-changing business environment.
- We have the technical expertise you value and the personal service you deserve.

- Our engagement partners and professional staff are readily available to you at all times.
- We offer access to our established network of strategic partners, contacts, and resources within the financial, banking and professional communities.
- We are founding members of The Leading Edge Alliance, an international group of independent accounting firms that provides us with affiliates in most major North American cities and countries throughout Europe, Asia, the Pacific Rim and South America.

Featured Services

- Annual Audits and Periodic Filing Requirements of the Securities Exchange Act of 1934
- Quarterly Reviews in Compliance with SAS71
- Initial Public Offerings and Registration Statements of the Securities Exchange Act of 1933
- Investment Company Act of 1940 Compliance
- Private Placement Memorandums and Offerings Exempt from Registration Under Regulation D
- Mergers & Acquisitions
- Reverse Mergers and Recapitalizations
- Going Private Transactions
- Advising Businesses on Raising Capital and Securing Financing
- Audit Committee Guidelines
- Tax Planning and Compliance
- International Tax and Transfer Pricing Advisory Services
- Systems Analyses and Consulting
- Internal Audit Function
- Back Office Services
- Special Purpose Acquisition Company (SPACs)
- Private Investment and Public Equity (PIPEs)